



Research

Cognitive Dysfunction in Older Patients Undergoing Orthopedic Surgery: Analysis of Demographic, Clinical, and Intraoperative Risk Factors

Ortopedik Cerrahi Geçiren Yaşlı Hastalarda Bilişsel İşlev Bozukluğu: Demografik, Klinik ve İntraoperatif Risk Faktörlerinin Analizi

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ABSTRACT

Objective: We evaluated cognitive dysfunction in older patients undergoing orthopedic surgery regarding demographic, clinical, and intraoperative risk factors.

Methods: A total of 56 older patients (aged >65 years, mean ± SD age: 75.1±6.8 years, 75% were females) hospitalized for elective orthopedic surgery were included. Data on patient demographics (age, gender), comorbid diseases, planned surgery indications, American Society of Anesthesiologists (ASA) Classification category, intraoperative time (min), intraoperative blood loss (mL), and length of hospital stay (LOS; days) were recorded for each patient. Vital signs were recorded thrice, preoperatively, intraoperatively (every 15 min), and postoperatively (first hour), whereas pain was assessed based on visual analog scale (VAS) scores in the postoperative period (1st h, 12th h, 36th h). The Mini-Mental State Examination (MMSE) was administered preoperatively and in the postoperative 72nd h to assess cognitive status.

Results: Overall, a significant decrease was noted in MMSE scores from the preoperative to postoperative (72nd h) period [median (minimum-maximum) 26 (12-30) vs. 23.5 (12-30), p<0.001]. The significant decline in MMSE scores after the operation was evident in each subgroup, regardless of the gender, ASA category, presence of comorbidity, or acute renal failure (average SD change from baseline ranged from -1.5 to -1.0; p-values ranged from 0.024 to <0.001). Preoperative and postoperative MMSE scores were negatively correlated with age (r=0.415, p=0.002 and r=-0.406, p=0.003, respectively) and intraoperative time (r=-0.511, p<0.001 and r=-0.428, p=0.002, respectively).

Conclusion: Our findings revealed the likelihood of postoperative cognitive dysfunction in older patients undergoing orthopedic surgery, regardless of gender, comorbidities, or ASA category. However, there was an increased risk of postoperative cognitive decline with increased patient age and longer intraoperative time.

Keywords: Postoperative cognitive dysfunction, orthopedics, older patients, age, comorbidity, intraoperative characteristics



Amaç: Ortopedik cerrahi geçiren yaşlı hastalarda kognitif disfonksiyonu demografik, klinik ve intraoperatif risk faktörleri açısından değerlendirmeyi amaçladık.

Gereç ve Yöntem: Elektif ortopedik cerrahi için hastaneye yatırılan toplam 56 yaşlı hasta (>65 yaş, ortalama ± SS yaş; 75,1±6.8 yıl, %75 kadın) dahil edildi. Hastaların demografik özellikleri (yaş, cinsiyet), komorbid hastalıkları, planlanan cerrahi endikasyonları, Amerikan Anesteziyologlar Derneği (ASA) Sınıflandırma kategorisi, intraoperatif süre (dakika), intraoperatif kan kaybı (mL), hastanede kalış süresi her hastada kaydedildi. Hayati bulgular ardışık şekilde üç kez kaydedildi; preoperatif, intraoperatif (15. dakika) ve postoperatif (1. saat), ağrı postoperatif dönemde (1. saat, 12. saat, 36. saat) görsel analog skala (VAS) skorlarına göre değerlendirildi. Bilişsel durumu değerlendirmek için ameliyat öncesi ve ameliyat sonrası 72. saatte mini mental durum testi (MMSE) yapıldı.

Bulgular: Genel olarak, MMSE skorlarında preoperatif dönemden postoperatif (72. saat) döneme [medyan (minimum-maksimum) 26 (12-30) ve 23,5 (12-30), p<0,001] arasında anlamlı bir düşüş kaydedildi. Cinsiyet, ASA kategorisi, komorbidite varlığı veya akut böbrek yetmezliği varlığından bağımsız olarak her alt grupta operasyondan sonra MMSE puanlarında önemli düşüş belirgindi (başlangıca göre ortalama SS değişikliği -1,5 ile

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Cite as: Tekdöş Şeker Y, Pektaş Y, Özel Bilgi D, Sertçakacılar G. Cognitive Dysfunction in Older Patients Undergoing Orthopedic Surgery: Analysis of Demographic, Clinical, and Intraoperative Risk Factors. Med J Bakirkoy 2021;17:190-196

Received: 20.02.2021 **Accepted:** 08.09.2021

-1,0 arasında değişmekteydi; p değerleri 0,024 ve <0,001). Preoperatif ve postoperatif MMSE skorları yaş (sırasıyla; r=-0,415, p=0,002 ve r=-0,406, p=0,003) ve intraoperatif süre (sırasıyla; r=-0,511, p<0,001 ve r=-0,428, p=0,002).

Sonuç: Bulgularımız ortopedik cerrahi geçiren yaşlı hastalarda cinsiyet, komorbidite veya ASA kategorisine bakılmaksızın postoperatif kognitif disfonksiyon olasılığının, hasta yaşının artması ve intraoperatif sürenin uzaması ile postoperatif bilişsel gerileme riskinin arttığını ortaya koymuştur. **Anahtar Kelimeler:** Postoperatif kognitif disfonksiyon, ortopedi, yaşlılık, yaş, komorbidite, intraoperative bulgular

INTRODUCTION

Postoperative cognitive dysfunction (POCD) refers to cognitive impairment affecting orientation, perception, attention, consciousness, and judgment that develop after anesthesia and surgery (1,2). It is a commonly recognized clinical phenomenon, particularly among older people with 40% and 10% rates in those over 60 years of age at discharge and the third-month post-discharge, respectively (2-4).

Alongside the risk of permanent cognitive dysfunction, the development of POCD in older patients has also been associated with prolonged hospital recovery, increased morbidity, and delays in functional recovery (1,5,6).

Given the aging of the population, an increasingly higher number of older patients are undergoing complex surgical procedures with advances in medicine, surgical techniques, and anesthetic care. Therefore, addressing the etiology, pathogenesis, and risk factors of POCD in older surgical patients has become clinically significant in developing appropriate preventive measures (2,4,6).

Orthopedic surgery is considered to have a particular role concerning the risk of postoperative cognitive impairment given the high prevalence of cerebral microemboli during procedures such as total knee replacements (1,7,8). This study was designed to evaluate cognitive dysfunction in older patients undergoing orthopedic surgery regarding demographic, clinical, and intraoperative risk factors.

METHODS

Study Population

A total of 56 older patients (aged >65 years, mean ± SD age: 75.1±6.8 years, 75% were females) hospitalized for elective orthopedic surgery were included in this prospective cross-sectional study conducted between April 2018 and October 2018. Patients with known cognitive dysfunction and foreign patients who did not speak Turkish were excluded from the study. Written informed consent was obtained from each subject following a detailed explanation of the objectives and protocol of the study conducted in accordance with the ethical principles stated in the "Declaration of Helsinki" and approved by the institutional ethics committee.

Assessments

Data on patient demographics (age, gender), comorbid diseases, planned surgery indications, American Society of Anesthesiologists (ASA) Classification category, intraoperative time (min), intraoperative blood loss (mL), and length of hospital stay (LOS; days) were recorded for each patient. Heart rate (bpm), systolic, diastolic and mean arterial blood pressure (mmHg) were recorded thrice; preoperatively, intraoperatively (every 15 min), and postoperatively (first hour), while hemoglobin (g/dL) and hematocrit (%) levels were also measured preoperatively and postoperatively. Pain was assessed based on visual analog scale (VAS) scores in the postoperative period (1st h, 12th h, 36th h). The mini-mental state examination (MMSE) was administered preoperatively and in the postoperative 72nd h to assess cognitive status.

Mini-Mental State Examination

MMSE, one of the most widely used screening instruments for estimating the severity of cognitive impairment, is an 11-item measure comprising five domains (orientation, registration, attention and calculation, recall, and language) of cognitive function. The MMSE total score ranges from 0 to 30, with a lower score indicating a higher degree of cognitive impairment (9-11).

Statistical Analysis

The statistical analysis was performed using IBM SPSS Statistics for Windows, version 25.0 (IBM Corp., Armonk, NY) and PAST (Paleontological Statistics) Software Package (12). The Mann-Whitney U test and Kruskal-Wallis test with the Monte Carlo simulation technique analyzed numerical variables. The change over time was evaluated by the Wilcoxon test for two repeated measurements and by Friedman's Two-Way test with the Monte Carlo simulation and post hoc Dunn's test for more than two repeated measurements. A correlation analysis was performed via partial correlation analysis. Data were expressed as "mean ± standard deviation (SD)," median (minimum-maximum), and percent (%) where appropriate. A p<0.05 was considered statistically significant.

RESULTS

Baseline Characteristics, Intraoperative Data, and Hospital Stay

Overall, the median age was 75 years (range, 65-92 years) and females comprised 75% of the study population. Comorbidities were evident in 50% of patients (hypertension in 25.0%), whereas acute renal failure was noted in 12.5% of patients. The ASA category was II in 42.9% of patients, and total hip replacement (39.3%) was the most common indication for surgery (Table 1).

The median intraoperative time, intraoperative blood loss, and LOS were 100 min (range, 60-180 min), 150 mL (range, 50-600 mL), and eight days (range, 4-27 days), respectively (Table 1).

Pre- vs. Postoperative Assessment for Hemogram Findings, Vital Signs, and Pain Scores

When compared with median (min-max) preoperative values, a significant decrease was noted in both hemoglobin [from 12.05 (8.9-15.9) g/dL to 9.5 (8.1-15.2) g/dL, p<0.001] and hematocrit [from 37.6% (28.4%-46.7%) to 30.05% (24.2%-43.9%), p<0.001) levels in the postoperative period. The median (min-max) systolic blood pressure [135 (65-175) vs. 140 (94-178] mmHg, p<0.001] and diastolic blood pressure [78.5 (55-148) vs. 71 (60-98) mmHg, p<0.05] levels were significantly lower in the intraoperative 15th min compared with preoperative values, with no significant change between pre- and postoperative records in vital signs.

VAS pain scores were significantly higher in the postoperative 36^{th} hour compared with postoperative first and 12^{th} hour values [median (min-max) 5 (3-8) vs. 3 (2-4) and 4.5 (2-7); p<0.001 and p<0.05, respectively]. VAS scores in the postoperative 12^{th} hour were also significantly higher than in the postoperative first hour (p<0.001) (Table 2).

MMSE Scores According to Baseline Characteristics

Overall, a significant decrease was noted in MMSE scores from preoperative to postoperative (72nd h) period [median (min-max) 26 (12-30) vs. 23.5 (12-30), p<0.001]. The significant decline in MMSE scores after the operation was evident in each subgroup, regardless of gender, ASA category, presence of comorbidity, or acute renal failure (average SD change from baseline ranged from -1.5 to -1.0; p-values ranged from 0.024 to <0.001) (Table 3).

No significant difference was noted in preoperative MMSE scores, postoperative MMSE scores, and change from baseline according to gender, ASA category, and presence of comorbidity or acute renal failure (Table 3).

Correlation of MMSE Scores with Study Variables

Correlation analysis as adjusted for gender, ASA category, comorbidity and acute renal failure revealed that preoperative and postoperative MMSE scores were negatively correlated with age (r=-0.415, p=0.002 and r=-

Table 1. Baseline characteristics, intraoperative data, and hospital stay

nospital stay			
A ()	Mean ± SD	75.1±6.8	
Age (year)	Median (min-max)	75 (65-92)	
6 1 (0)	Female	42 (75.0)	
Gender, n (%)	Male	14 (25.0)	
	II	24 (42.9)	
ASA category, n (%)	III	21 (37.5)	
	IV	11 (19.6)	
	None	28 (50.0)	
	>3	2 (3.6)	
Comorbidity, n (%)	HT	14 (25.0)	
	HT+DM	5 (8.9)	
	CHF	3 (5.4)	
	Stroke	2 (3.6)	
	DM	1 (1.8)	
	Hypothyroidism	1 (1.8)	
	THR	22 (39.3)	
	PHA	1 (1.8)	
	TKP	19 (33.9)	
Indication for surgery, n (%)	ORIF	7 (12.5)	
(70)	Upper	1 (1.8)	
	MMR	3 (5.4)	
	Other	3 (5.4)	
Postoperative infection,	n (%)	2 (3.6)	
LFT, n (%)		1 (1.8)	
Acute renal failure, n (%)		7 (12.5)	
Intraoperative time	Mean ± SD	108.0±34.8	
(min)	Median (min-max)	100 (60-180)	
Intraoperative blood	Mean ± SD	183.9±108.3	
loss (mL)	Median (min-max)	150 (50-600)	
Length of hospital stay	Mean ± SD	9.3±4.3	
(day)	Median (min-max)	8 (4-27)	

ASA: American society of anesthesiologists, DM: Diabetes mellitus, HT: Hypertension, CHF: Congestive heart failure, THR: Total hip replacement, PHA: Partial hip replacement, TKP: Total knee prosthesis, ORIF: Open reduction internal fixation, Upper: Upper extremity surgeon, MMR: Medial meniscus repair, LFT: Liver function tests, SD: Standard deviation, Min: Minimum, Max: Maximum

0.406, p=0.003, respectively) and intraoperative time (r=-0.511, p<0.001 and r=-0.428, p=0.002, respectively) (Table 4). No significant correlation of MMSE scores was noted with intraoperative blood loss or LOS (Table 4).

DISCUSSION

Our findings in a cohort of older patients undergoing orthopedic surgery revealed a significant decrease in MMSE scores 72 h after surgery, regardless of gender, ASA category, or presence of comorbidity, or ARF.

Table 2. Pre- vs. postoperative assessment for hemogram findings, vital sings and pain scores

Preoperative	Postoperati	ve		р
12.05 (8.9-15.9)	9.5 (8.1-15.2	2)		<0.001
37.6 (28.4-46.7)	30.05 (24.2-	43.9)		<0.001
Preoperative	Intraoperativ	ve (15 th min)	Postoperative (1st h)	
74 (62-126)	80.5 (60-100)		80 (64-95)	0.0992
140 (94-178)	135 (65-175)**		138 (100-168)	0.0042
78.5 (55-148)	71 (60-98)*		72 (45-106)	0.0262
Postoperative				
12 th h	24 th h	36 th h		
3 (2-4)qq,w	4.5 (2-7) ^q	5 (3-8)		<0.0012
	12.05 (8.9-15.9) 37.6 (28.4-46.7) Preoperative 74 (62-126) 140 (94-178) 78.5 (55-148) Postoperative 12 th h	12.05 (8.9-15.9) 9.5 (8.1-15.2) 37.6 (28.4-46.7) 30.05 (24.2- Preoperative Intraoperativ 74 (62-126) 80.5 (60-100 140 (94-178) 135 (65-175) 78.5 (55-148) 71 (60-98)* Postoperative 12 th h 24 th h	12.05 (8.9-15.9) 9.5 (8.1-15.2) 37.6 (28.4-46.7) 30.05 (24.2-43.9) Preoperative Intraoperative (15 th min) 74 (62-126) 80.5 (60-100) 140 (94-178) 135 (65-175)** 78.5 (55-148) 71 (60-98)* Postoperative 12 th h 24 th h 36 th h	12.05 (8.9-15.9) 9.5 (8.1-15.2) 37.6 (28.4-46.7) 30.05 (24.2-43.9) Preoperative Intraoperative (15th min) Postoperative (1st h) 74 (62-126) 80.5 (60-100) 80 (64-95) 140 (94-178) 135 (65-175)** 138 (100-168) 78.5 (55-148) 71 (60-98)* 72 (45-106) Postoperative 12th h 24th h 36th h

Data are shown as median (min-max). VAS: Visual analog scale; BP: Blood pressure

¹Wilcoxon-Signed Ranks test (Monte Carlo), ² Friedman test (Monte Carlo), Post Hoc test: Dunn's test

*p<0.05 and **p<0.01; compared to preoperative values

 $^{\rm q}p{<}0.05$ and $^{\rm qq}p{<}0.001;$ compared to $36^{\rm th}$ hour values

wp<0.001; compared to 12th hour values

Table 3. MMSE scores according to baseline characteristics

		MMSE scores			
Preoperative median (min-max)		Postoperative (72 nd h)	Change from baseline	р	
		median (min-max)	median (min-max)	pre vs. postop	
Total		26 (12-30)	23.5 (12-30)	-1 (-10-6)	<0.001³
	Female	26 (12-30)	26 (12-30)	-1 (-10-6)	<0.001³
Gender	Male	23 (13-30)	21.5 (12-29)	-1.5 (-6-1)	0.0013
	р	0.136 ¹	0.136 ¹	0.2371	
	II	25.5 (13-30)	23.5 (12-30)	-1 (-6-1)	<0.001³
ASA category	Ш	28 (12-30)	26 (16-30)	-1 (-6-6)	0.0043
	IV	25 (14-30)	20 (12-30)	-1 (-10-0)	0.0043
	р	0.2952	0.1062	0.4592	
	Absent	25.5 (12-30)	23 (12-30)	-1 (-6-6)	<0.001³
Comorbidity	Present	26 (14-30)	24.5 (12-30)	-1 (-10-1)	<0.001³
	р	0.3071	0.669 ¹	669 ¹ 0.582 ¹	
	Absent	26 (12-30)	23 (12-30)	-1 (-10-6)	<0.001³
Acute renal failure	Present	28 (21-30)	27 (16-30)	-1 (-6-0)	0.0243
	р	0.314 ¹	0.2941	0.945 ¹	

ASA: American society of anesthesiologists, MMSE: Mini-Mental state examination

¹Mann-Whitney U test (Monte Carlo), ²Kruskal-Wallis test (Monte Carlo), ³Wilcoxon Signed Ranks test (Monte Carlo)

Table 4. Correlation of MMSE scores with study variables

	MMSE scores						
	Preoperat	Preoperative		Postoperative		Change from baseline	
	r	р	r	р	r	р	
Age	-0.415	0.002	-0.406	0.003	-0.019	0.895	
Intraoperative time (min)	-0.511	<0.001	-0.428	0.002	0.133	0.346	
Intraoperative blood loss (mL)	-0.182	0.195	-0.097	0.494	0.169	0.230	
Length of hospital stay (day)	-0.193	0.169	-0.179	0.204	0.013	0.925	

Adjusted for gender, ASA category, comorbidity, and acute renal failure. Partial Correlation test, r: correlation coefficient, MMSE: Mini-Mental state examination

When adjusted for gender, ASA category, or presence of comorbidity and ARF, MMSE scores were negatively correlated with age and intraoperative time. In contrast, no correlation of MMSE scores was noted with intraoperative blood loss or LOS. MMSE scores did not differ concerning gender, ASA category, or presence of comorbidity in the pre or postoperative period.

A postoperative decline of ≥1 SD from baseline MMSE scores in our patients indicated the likelihood of cognitive impairment in older patients undergoing orthopedic surgery. However, an increase in age and longer intraoperative time were determined as the two risk factors for the postoperative decline in MMSE scores. Hence, our findings emphasize that advanced age and complexity of the surgical procedure, rather than gender and comorbidities, were associated with a higher risk of new cognitive impairment arising after a surgical procedure in older orthopedic patients. This seems consistent with consideration of advanced age and extensive surgery with a higher risk of postoperative POCD in apparently previously cognitively well patients undergoing surgery with the most marked increase of risk among those over 65 years of age (2,13). In a systematic review of studies in surgery patients, increasing age was the most common risk factor for POCD. In contrast, the duration and type of surgery (cardiac, orthopedic, and vascular) were also reported among risk factors (13).

Indeed, a systematic review of 19 studies in 6477 patients concluded that the prevalence of POCD was 11.7% for non-cardiac surgery at three months of follow-up (14). However, older patients undergoing higher risk surgery are considered to have a higher risk. The POCD prevalence in patients undergoing elective hip surgery is estimated to be 22% (15).

Notably, in the older population undergoing hip fracture surgery, POCD, along with postoperative delirium, have been reported to be the two frequent complications leading to increased risk of morbidity, mortality, and prolonged hospital stays (16-18).

While potentially anesthetic-dependent modifiable factors (i.e., hypoxia, hypotension, and altered cerebral perfusion) have been postulated as contributing to POCD, there is no conclusive evidence (13,19,20). Indeed, hypotension as a common cause of cerebral hypoperfusion has been investigated regarding its relation to POCD, but the potential impact of prolonged hypotension was not confirmed in these studies (19,21-23). Accordingly, while there was a significant but transient decline in systolic and diastolic blood pressure intraoperatively, blood pressure was maintained at preoperative levels postoperatively in our patients. In addition, while a significant decline was noted in hemoglobin and hematocrit levels after the operation, there was no significant impact of intraoperative blood loss on MMSE findings.

Given the association of vascular risk factors (i.e., hypertension, obesity, diabetes mellitus) with cognitive decline in the general population, their optimization may have a role in lowering the risk of POCD (13,19,24). Our findings revealed comorbidities (i.e., diabetes, hypertension, congestive heart failure, stroke) in 50% of patients, whereas no significant impact of the presence of comorbidity on the postoperative decline in MMSE scores and on preoperative MMSE scores. These seem notable given that diabetes mellitus, hypertension, obesity, smoking, depression, cognitive inactivity, and physical inactivity are considered critical modifiable risk factors for cognitive dysfunction in Alzheimer's disease with the potential impact of related lifestyle interventions on cognitive improvement (25-27). Our findings revealed no such impact of comorbidities on the likelihood or severity of POCD among older orthopedic surgery patients.

Our findings based on assessing cognitive status 72 h after surgery seem consistent with considering POCD to be most prevalent in the immediate postoperative period and highly prevalent up to three months postoperatively, and mostly resolving within days to weeks (6,13,28,29). Notably, while POCD may also persist 1-2 years after surgery, it has been considered to be reversible and rarely persists in the longer (10 years) term (6,13,28,29).

Notably, while the variance in the 7-day, 3-month, or 12-month cognitive assessment in the same patient has been considered likely, the longitudinal assessment has revealed that a single diagnosis of cognitive decline is associated with a higher incidence even with this fluctuating pattern of long-term dementia (25,30,31). Besides, while POCD is generally transient, it lasts longer and affects everyday life more severely in patients over age 60 (32). Also, the presence of POCD on discharge has been associated with diminished quality of life and increased risk of mortality within one year after surgery (2,3).

This also seems notable given the increasingly recognized role of perioperative consultation with older specialists among older patients undergoing major surgery to prevent POCD and improve quality of life (13). In orthopedic surgery, they are associated with good outcomes in hip fracture patients (33).

Although the MMSE was essentially developed as a cognitive screening tool, it performs well as a screening tool for dementia. Therefore, it was suggested that the MMSE has a limited role in assessing cognitive decline after anesthesia and surgery (13,25). It has been widely used as a tool to detect POCD, given the ease of administration (6-8 minutes) (25,34) and the consideration of the purpose of postoperative neuropsychological testing to detect the presence of general, rather than specific, changes in cognitive functioning (35).

Use of single-point testing for cognitive status before orthopedic surgery has been considered to give an incorrect opinion about patients' cognitive trajectory, given the likelihood of preoperative pain (i.e., for a patient about to undergo total hip replacement) to have a considerable impact on cognition and thus the impact of the postoperative reduction in pain and improved mobility on cognitive measures (13,24). This seems notable given the significant increase in VAS pain scores from the postoperative first h to 36th h in our patients.

Nonetheless, the heterogeneity of study populations, definition of cognitive impairment, instruments used to measure cognitive performance, and follow-up periods have been the major challenges limiting the likelihood of generalizing from the study findings available on POCD (2,13).

CONCLUSIONS

In conclusion, our findings revealed the likelihood of POCD in older patients undergoing orthopedic surgery, regardless of gender, comorbidities, or ASA category. However, there was an increased risk of postoperative cognitive decline with increased patient age and longer intraoperative time. This emphasizes the critical role of improved perioperative geriatric care in providing guidance and early recognition of risk factors among older patients prone to POCD. Larger-scale longitudinal clinical studies employing standardized test instruments are necessary to determine the risk for further cognitive deterioration in the longer term and develop strategies to minimize the cognitive impact for a better quality of life in older surgical patients.

ETHICS

Ethics Committee Approval: The study were approved by the University of Health Sciences Turkey, Bakırköy Dr. Sadi Konuk training and Research Hospital of Local Ethics Committee (protocol number: 2018/126-17.05.2018).

Informed Consent: Consent form was filled out by all participants.

Authorship Contributions

Surgical and Medical Practices: G.S., Y.P., Concept: Y.T.Ş., Design: Y.T.Ş., Data Collection or Processing: D.Ö.B., G.S., Y.P., Analysis or Interpretation: Y.T.Ş., Literature Search: Y.T.Ş., Writing: Y.T.Ş.,

Conflict of Interest: No conflict of interest was declared by the authors.

Financial Disclosure: The authors declared that this study received no financial support.

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